



National Literacy and Numeracy Learning Progressions

Literacy Progression
Appendices 1 to 5

Contents

Appendix 1. The evidence base for development	3
Appendix 2. Key references – Speaking and listening	4
Appendix 3. Key references – Reading.....	6
Appendix 4. Key references – Writing.....	9
Appendix 5. Literacy experts consulted during development	12

Appendix 1. The evidence base for development

The literacy progression was developed on a foundation of peer-reviewed, internationally recognised research in the fields of literacy and language development. It is informed by studies from a broad range of approaches including cognitive psychology, linguistics and sociolinguistics.

A number of seminal pieces of research provide a theoretical framework for this progression. The functional model of language, first developed by Michael Halliday, has been used because

it underpins much of the structure and content of the Australian Curriculum: English. It has been adopted as a key theoretical approach across the world, including in Singapore, Hong Kong, Finland, the USA, China and South Africa. It describes how to use language and grammatical knowledge to make meaning in different contexts, for different audiences and purposes. The model has been developed in Australia over the last 40 years and is included in teacher education courses across Australia.

The distinction between ‘constrained skills’ and ‘unconstrained skills’ (Paris 2005) is an important theoretical underpinning in the development of the structure of the progression. Paris’s theory supports the relationship between the foundational sub-elements, or constrained skills, such as phonological awareness and phonic knowledge; and the unconstrained skills, or application sub-elements, such as understanding texts. The constrained skills are a set of skills that reach an optimal level early in the development progression. They are taught explicitly in the Australian Curriculum: English. The unconstrained skills, or application sub-elements, become increasingly complex across all years of schooling and across learning areas, and are never described as fully mastered. Importantly, Paris notes that constrained skills are essential for literacy development but they are not sufficient for a student to become ‘literate’ and that unconstrained skills develop alongside constrained skills.

The four related literacy resources described by Freebody and Luke in 1990, and further explained in 1999, are code breaker, text participant, text user and text analyst. They describe a repertoire of capabilities used by proficient readers. These form the framework of literacy that underpins both the Australian Curriculum: English and the reading sub-elements in the literacy progression. Freebody and Luke’s theories of reading behaviours that support successful learning have informed the development of the progressions.

A list of all literature considered for each sub-element of the National Literacy Learning Progression is provided in appendices 2–4.

More research and empirical studies into literacy development have been conducted in the elements of reading and writing than in speaking and listening. For validation purposes, this imbalance is exacerbated by the absence of NAPLAN student performance data for speaking and listening. Research has typically focused on the early years, although there is growing interest in the research community in literacy development across learning areas and for older students.

It is acknowledged that new evidence and research will emerge, perhaps through this project, which may challenge the sequencing of content in the Australian Curriculum. New evidence will be reported in ACARA's annual process for monitoring the effectiveness of the Australian Curriculum, for consideration in future Australian Curriculum evaluations and reviews.

General references

Education Council 2015, National STEM School Education Strategy: A comprehensive plan for science, technology, engineering and mathematics education in Australia. Retrieved from

<<http://www.educationcouncil.edu.au/site/DefaultSite/filesystem/documents/National%20STEM%20School%20Education%20Strategy.pdf>>

Freebody, P & Luke, A 1990, 'Literacies programs: debates and demands in cultural context', *Prospect: An Australian Journal of TESOL*, vol. 5, no. 7, pp. 7–16.

Masters, G 2013, 'Reforming education assessment: imperatives, principles and challenges', *Australian Education Review*, no. 57. Retrieved from Australian Council for Educational Research: <<http://research.acer.edu.au/aer/12/>>

Paris, SG 2005, 'Reinterpreting the development of reading skills', *Reading Research Quarterly*, vol. 40, no. 2, pp. 184–202.

Pellegrino JW, Chudowsky N & Glaser R (eds) 2001, *Knowing What Students Know: the science and design of educational assessment*, National Academy Press, Washington, DC.

Appendix 2. Key references – Speaking and listening

Listening

The development of this sub-element progression was informed by the following: Alexander, RJ 2008, *Towards Dialogic Teaching: Rethinking classroom talk*, 4th edn, Dialogos, York.

Dawes, L, Littleton, K, Mercer, N, Wegerif, R & Warwick, P, *Thinking Together in the Primary Classroom*, The Centre for Research in Education and Educational Technology (CREET), The Open University, Milton Keynes, UK.

Department of Education, Tasmania 2015, *Good Teaching Literacy K–2*, Department of Education Professional Learning Institute, Hobart.

Edwards-Groves, C, Anstey, M, Bull, G 2014, *Classroom Talk: Understanding dialogue, pedagogy and practice*, Primary English Teaching Association (PETAA).

Fountas, IC & Pinnell, GS 2009, *When Readers Struggle: Teaching that works*, Heinemann, Portsmouth, NH.

Gibbons, P 2002, *Scaffolding Language, Scaffolding Learning: Teaching second language learners in the mainstream classroom*, Heinemann Educational Books, Portsmouth, NH.

Jones, P 1996, *Talking to Learn*, Primary English Teaching Association, Newtown, NSW.

NSW Department of Education 2012, Curriculum and Learning Innovation Centre, *Literacy Continuum K–6*.

Nunan, D 1990, 'Learning to listen in a second language', *Prospect: An Australian Journal of TESOL*, vol. 5, no. 2, pp. 7–23.

Victorian Department of Education & University of NSW 2016, *Tools to Enhance Assessment Literacy*. <<http://teal.global2.vic.edu.au/>>

Speaking

The development of this sub-element progression was informed by the following:

Alexander, RJ 2008, *Towards Dialogic Teaching: Rethinking classroom talk*, 4th edn, Dialogos, York.

Dawes, L, Littleton, K, Mercer, N, Wegerif, R & Warwick, P, *Thinking Together in the Primary Classroom*, The Centre for Research in Education and Educational Technology (CREET), The Open University, Milton Keynes, UK.

Edwards-Groves, C, Anstey, M, Bull, G 2014, *Classroom Talk: Understanding dialogue, pedagogy and practice*, Primary English Teaching Association (PETAA).

Gibbons, P 2002, *Scaffolding Language, Scaffolding Learning: Teaching second language learners in the mainstream classroom*, Heinemann Educational Books, Portsmouth, NH.

Jones, P 1996, *Talking to Learn*, Primary English Teaching Association, Newtown, NSW.

Mercer, N 2004, 'Sociocultural discourse analysis: analysing classroom talk as a social mode of thinking', *Journal of Applied Linguistics*, vol. 1.2, pp. 137–68.

Nunan, D 1990, 'Learning to listen in a second language', *Prospect: An Australian Journal of TESOL*, vol. 5, no. 2, pp. 7–23.

Victorian Department of Education & University of NSW 2016, *Tools to Enhance Assessment Literacy*. <<http://teal.global2.vic.edu.au/>>

Interacting

The development of this sub-element progression was informed by the following:

Alexander, RJ 2008, *Towards Dialogic Teaching: Rethinking classroom talk*, 4th edn, Dialogos, York.

Dawes, L, Littleton, K, Mercer, N, Wegerif, R & Warwick, P, *Thinking Together in the Primary Classroom*, The Centre for Research in Education and Educational Technology (CREET), The Open University, Milton Keynes, UK.

Edwards-Groves, C, Anstey, M, Bull, G 2014, Classroom Talk: Understanding dialogue, pedagogy and practice, Primary English Teaching Association (PETAA).

Gibbons, P 2002, Scaffolding Language, Scaffolding Learning: Teaching second language learners in the mainstream classroom, Heinemann Educational Books, Portsmouth, NH.

Jones, P 1996, Talking to Learn, Primary English Teaching Association, Newtown, NSW.

Nunan, D 1990, 'Learning to listen in a second language', Prospect: An Australian Journal of TESOL, 5(2), pp. 7–23.

Victorian Department of Education & University of NSW 2016, Tools to Enhance Assessment Literacy. <<http://teal.global2.vic.edu.au/>>

Appendix 3. Key references – Reading

Phonological awareness

The development of this sub-element progression was informed by the following:

Adams, MJ 1990, Beginning to Read: Thinking and learning about print, A Bradford Book, MIT Press, Cambridge, Mass.; London.

Annandale, K & Department of Education and Training Western Australia 2004, Reading Map of Development: Addressing current literacy challenges, First Steps series, 2nd edn, Rigby Heinemann, Port Melbourne, Victoria.

Carroll, JM, Bowyer-Crane, C, Duff, FJ, Hulme C & Snowling, MJ 2011, Developing Language and Literacy: Effective intervention in the early years, Wiley-Blackwell, Chichester.

Ehri, LC 1994, 'Development of the ability to read words: update', in R Ruddell, M Ruddell & H Singer (eds), Theoretical Models and Processes of Reading, 4th edn, Erlbaum, Hillsdale, NJ, pp. 323–58.

Griffith, PL & Olson, MW 2004, 'Phonemic awareness helps beginning readers break the code',

The Reading Teacher, vol. 45, no. 7, 1992 March, p. 516.

Harris, TL & Hodges, RE (eds) 1995, The Literacy Dictionary: The vocabulary of reading and writing, International Reading Association, Newark, Delaware.

Melby-Lervag, M, Lyster, SAH & Hulme, C 2012, 'Phonological skills and their role in learning to read: a meta-analytic review', Psychology Bulletin, 138 (2), pp. 322–52.

National Reading Panel 2000, Teaching Children to Read: An evidence-based assessment of the scientific research literature on reading and its implications for reading instruction, National Institute of Child Health and Human Development, Washington, DC.

Phonic knowledge and word recognition

The development of this sub-element progression was informed by the following:

Adams, MJ 1990, *Beginning to Read: Thinking and learning about print*, A Bradford Book, MIT Press, Cambridge, Mass.; London.

Ehri, LC 1994, 'Development of the ability to read words: update', in R Ruddell, M Ruddell &

H Singer (eds), *Theoretical Models and Processes of Reading*, 4th edn, Erlbaum, Hillsdale, NJ.

Griffith, PL & Olson, MW 2004, 'Phonemic awareness helps beginning readers break the code',

The Reading Teacher, vol. 45, no. 7, 1992 March, p. 516.

National Reading Panel 2000, *Teaching Children to Read: An evidence-based assessment of the scientific research literature on reading and its implications for reading instruction*, National Institute of Child Health and Human Development, Washington, DC.

Fluency

The development of this sub-element progression was informed by the following:

Ehri, Linnea C 2005, 'Learning to read words: theory, findings, and issues', *Scientific Studies of Reading*, vol. 9, no. 2, Apr. 2005, pp. 167–88.

Kuhn, MR 2005, 'A comparative study of small group fluency instruction', *Reading Psychology*, 26, pp. 127–46.

Kuhn, MR & Schwanenflugel, P 2006, 'All oral reading practice is not equal or how can I integrate fluency into my classroom?' *Literacy Teaching and Learning: An International Journal of Early Reading and Writing*, 11(1), pp. 1–20.

Kuhn, MR & Stahl, SA 2003, 'Fluency: a review of developmental and remedial practices', *Journal of Educational Psychology*, 95 (1), pp. 3–21.

Understanding texts

The development of this sub-element progression was informed by the following:

Alexander, PA & Fox, E 2011, 'Adolescents as readers', in ML Kamil, PD Pearson, EB Moje & PP Afflerbach (eds), *Handbook of Reading Research*, vol. 4, Routledge, New York, pp. 157–76.

Duke, NK & Pearson, PD 2002, 'Effective practices for developing reading comprehension', in Farstrup AE & Samuels SJ (eds), *What Research Has to Say About Reading Instruction*, 3rd edn, pp. 205–42, International Reading Association, Newark, DE.

Duke, NP, Pearson, D, Strachan, SL & Billman, AK 2011, 'Essential elements of fostering and teaching reading comprehension', in SJ Samuels & AE Farstrup (eds), *What*

Research Has to Say About Reading Instruction, 4th edn, pp. 51–93, International Reading Association, Newark, DE.

Freebody, P & Luke, A 1990, 'Literacies programs: debates and demands in cultural context',

Prospect: An Australian Journal of TESOL, 5, pp. 7–16.

Griffin, P, Murray, L, Care, E, Thomas, A & Perri, P 2010, 'Developmental assessment: lifting literacy through professional learning teams', Assessment in Education: Principles, Policy and Practice, vol. 17, no. 4, November 2010.

Guthrie, JT, Wigfield, A & Lutz Klauda, S 2012, Adolescents' Engagement in Academic Literacy, University of Maryland, College Park, USA.

Luke, A & Freebody, P 1999-01-01, Further notes on the four resources model, Int. Reading Association, pp. 1–4.

Moje, EB 2010, 'Comprehending in the content areas: the challenges of comprehension, grades 7–12, and what to do about them', in Ganske K & Fisher D (eds), A Comprehensive Look at Reading Comprehension, K–12, pp. 46–72, Guilford, New York.

Moss, B & Young, TA 2010, Creating Lifelong Readers Through Independent Reading, International Reading Association, Newark, DE.

National Reading Panel 2000, Teaching Children to Read: An evidence-based assessment of the scientific research literature on reading and its implications for reading instruction, National Institute of Child Health and Human Development, Washington, DC.

Paris, SG & Stahl, SA 2005, Children's Reading Comprehension and Assessment, Lawrence Erlbaum Associates, Mahwah, New Jersey.

Paris, SG 2005, Reinterpreting the development of reading skills, Reading Research Quarterly, 40(2), 184–202. Retrieved from <<https://search.proquest.com/docview/212133881?accountid=186365>>

Rowe, K and National Inquiry into the Teaching of Literacy (Australia) 2005, Teaching Reading. Retrieved from <http://research.acer.edu.au/tll_misc/5>

Shanahan, T, Callison, K, Carriere, C, Duke, NK, Pearson, PD, Schatschneider C & Torgesen, J 2010, Improving Reading Comprehension in Kindergarten through 3rd Grade: a practice guide education evaluation and regional assistance, Institute of Education Sciences, US Department of Education.

Snow, C & O'Connor, C 2013, 'Close reading and far-reaching classroom discussion: fostering a vital connection. (A policy brief from the Literacy Research Panel of the International Reading Association)', International Reading Association, Newark, DE. Retrieved from <www.reading.org/Libraries/lrp/ira-lrp-policy-brief--close-reading--13sept2013.pdf> (accessed 3 March 2015).

Snow, CE & Uccelli, P 2009, 'The challenge of academic language', in DR Olson & N Torrance (eds), *The Cambridge Handbook of Literacy*, Cambridge University Press, New York, pp. 112–33.

Appendix 4. Key references – Writing

Creating texts

The development of this sub-element progression was informed by the following:

Calkins, L 1994, *The Art of Teaching Writing*, 2nd edn, Heinemann, Portsmouth, NH.

Callaghan, M, Knapp, P & Noble, G 1993, 'Genre in practice', in B Cope & M Kalantzis (eds), *The Powers of Literacy: A genre approach to teaching writing*, Falmer Press, Bristol, PA, USA, pp. 179–202.

Callow, J 2013, *The Shape of Text to Come: How image and text work*, Primary English Teaching Association Australia, Newtown, NSW.

Christie, F & Derewianka, B 2008, *School Discourse: Learning to write across the years of schooling*, Continuum, London.

Department of Education Western Australia 2013, *Writing Map of Development*, First Steps series, Department of Education WA. Retrieved from <<http://det.wa.edu.au/stepsresources/detcms/education/stepsresources/first-steps-literacy/writing-map-of-development.en?cat-id=13601995>>

Derewianka, B 1990, *Exploring How Texts Work*, PETA, Newtown, NSW.

Derewianka, B & Jones, P 2012, *Teaching Language in Context*, Oxford University Press, South Melbourne.

Doing What Works 2012, *Teaching students to use the writing process for a variety of purposes*, July 2012. Retrieved from <https://ies.ed.gov/ncee/wwc/pdf/practice_guides/wwc_writing_pg_ts.pdf>

Donovan, CA & Smolkin, LB 2011, 'Supporting informational writing in the elementary grades',

The Reading Teacher.

Heldsinger, S & Humphry, S 2010, 'Using the method of pairwise comparison to obtain reliable teacher assessments', *The Australian Educational Researcher*, vol. 37, no. 2, pp. 1–19.

Humphrey, S, Droga, L & Feez, S 2012, *Grammar and Meaning*, Primary English Teaching Association Australia, Newtown, NSW.

Knapp, P, *Genre and Grammar: Assessing Student Writing*. unpublished work, UNSW Press

Knapp, P & Watkins, M 2005, Genre, text, grammar: Technologies for teaching and assessing writing, UNSW Press Ltd, Sydney.

Knapp, P & Watkins, M 1994, Context – Text – Grammar: Teaching the Genres and Grammar of Writing in Infants and Primary Classrooms, Text Productions, Sydney.

National Governors Association Center for Best Practices, Council of Chief State School Officers 2010, Common Core State Standards (English language arts: Writing, Kindergarten – Grade 9–10), NGA Center, CCSSO, Washington DC.
<www.corestandards.org/ELA-Literacy/>

Pairwise Pty Ltd, 2016, Brightpath progression descriptions, Perth, WA.
<<https://www.brightpath.com.au>>

Rose, D & Martin, JR 2012, Learning to Write, Reading to Learn: Genre, Knowledge and Pedagogy in the Sydney School, Equinox Publishing, Sheffield, UK.

Schleppegrell, MJ 2009, 'Language in Academic Subject Areas and Classroom Instruction: What is academic language and how can we teach it?' paper prepared for the workshop on the Role of Language in School Learning: Implications for Closing the Achievement Gap, October 15–16, 2009, Hewlett Foundation, Menlo Park, CA.

Schleppegrell, MJ & De Oliveira LC 2006, 'An integrated language and content approach for history teachers', Journal of English for Academic Purposes, vol. 5, pp. 254–68.

Turbill, J, Barton, G & Brock, C 2015, Teaching Writing in Today's Classrooms: Looking back to look forward, ALEA, Norwood, SA.

Wing, JL 2015, Write Ways, OUP, South Melbourne, Vic.

Punctuation

The development of this sub-element progression was informed by the following:

NSW Department of Education and Training 2007, NSW State Literacy and Numeracy Plan. Writing and Spelling Strategies.

Leeds University, The development of punctuation knowledge in children aged seven to eleven,

ESRC Project: R0002383348: Full report of research activities and results:

<<http://www.leeds.ac.uk/educol/documents/189662.pdf>>

Vocabulary

The development of this sub-element progression was informed by the following:

Beck, IL, McKeown, MG & Kucan, L 2002, Bringing Words to Life: Robust vocabulary instruction, Guilford Press, New York.

Ehri, LC 1994, 'Development of the ability to read words: Update', in R Ruddell, M Ruddell & H Singer (eds), *Theoretical Models and Processes of Reading*, 4th edn, Erlbaum, Hillsdale, NJ, pp. 323–58.

Nagy, WE & Herman, PA 1987, 'Breadth and depth of vocabulary knowledge: implications for instruction', in MG McKeown & ME Curtis (eds), *The Nature of Vocabulary Acquisition*, Lawrence Erlbaum Associates, Hillsdale, New Jersey, pp. 19–35.

Grammar

The development of this sub-element progression was informed by the following:

Brisk, ME 2012, 'Young bilingual writers' control of grammatical person in different genres',

Elementary School Journal, vol. 112, pp. 445–68.

Christie, F 2005, *Language Education in the Primary Years*, UNSW Press, Sydney.

Christie, F 2012, *Language Education throughout the School Years: a functional perspective*, Wiley-Blackwell, West Sussex, UK.

Christie, F & Derewianka, B 2008, *School Discourse: Learning to write across the years of schooling*, Continuum, London.

Derewianka, B 2011, *A New Grammar Companion for Teachers*, Primary English Teaching Association, Sydney.

Derewianka, B & Jones P 2012, *Teaching Language in Context*, Oxford University Press, South Melbourne.

Donovan, CA & Smolkin LB 2011, 'Supporting informational writing in the elementary grades',

The Reading Teacher.

Duke, N 2000, '3.6 minutes per day: the scarcity of informational texts in first grade', *Reading Research Quarterly*.

National Governors Association Center for Best Practices, Council of Chief State School Officers, 2010a, *Common Core State Standards for English Language Arts*, appendix A, NGA Center, CCSSO, Washington DC.

Schleppegrell, MJ 2012, 'Academic language in teaching and learning', introduction to the special issue, *The Elementary School Journal*, 112 (3), pp. 409–18.

Schleppegrell, MJ 2010, 'Functional grammar in the classroom', in *Symposium 2009, Genrer och funktionellt språk i teori och praktik universitets förlag*.

Schleppegrell, MJ 2009, 'Language in academic subject areas and classroom instruction: what is academic language and how can we teach it?' Paper prepared for the workshop

on the Role of Language in School Learning: Implications for Closing the Achievement Gap, October 15–16, 2009, Hewlett Foundation, Menlo Park, CA.

Schleppegrell, MJ 2004, *The Language of Schooling: a functional linguistics perspective*, Lawrence Erlbaum Associates, Mahwah, NJ.

Schleppegrell, MJ & De Oliveira, LC 2006, 'An integrated language and content approach for history teachers', *Journal of English for Academic Purposes*, 5, pp. 254–68.

Shanahan, T & Shanahan C, 2012, 'What is disciplinary literacy and why does it matter?' *Topics in Language Disorders*.

Appendix 5. Literacy experts consulted during development

ACARA acknowledges the contribution of the following literacy experts who were consulted during the development of the progression:

- Dr Misty Adoniou
- Dr Jennifer Buckingham
- Dr Deidre Clary
- Dr Chris Davison
- Dr Beverly Derewianka
- Dr Jacqueline D'Warte
- Dr Beryl Exley
- Dr Christine Groves
- Dr Sally Howell
- Dr Sally Humphrey
- Dr Pauline Jones
- Dr Lisa Kervin
- Dr Deslea Konza
- Dr Peter Knapp
- Dr Mary Macken Horarik
- Dr Meree Reynolds
- Dr Janet Scull
- Dr Len Unsworth
- Dr Claire Wyatt-Smith
- Dr Katina Zammit

